

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of:	)	
	)	<b>Group Art Unit:</b> Unknown
Chris Nunez	)	
	)	<b>Examiner:</b> Not Yet Assigned
Serial No.: 09/624,054	)	
	)	
Filed: July 24, 2000	)	
	)	
For: DOCUBASE INDEXING, SEARCHING	)	
AND DATA RETRIEVAL	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

**STATEMENT UNDER 37 CFR § 1.97(e):**

- ☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.
- ☐ No item contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).
- ☐ Please charge \_\_\_\_\_ to Deposit Account No. 12-2475 for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. 12-2475.

Respectfully submitted,  
LYON & LYON LLP

Dated: 3/9/01

By: \_\_\_\_\_

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22249

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<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary) Sheet 1 of 1	<b>ATTY. DOCKET NO.</b> 258/267	<b>SERIAL NO.</b> 09/627,054
	<b>APPLICANT:</b> Chris Nunez	
	<b>FILING DATE:</b> 7/24/2000	<b>GROUP:</b> Not Yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	5,357,631	10/1994	Howell, et al.			
	AB	5,649,186	7/1997	Ferguson			
	AC	5,649,218	7/1997	Saito			
	AD	5,708,806	1/1998	De Rose et al.			
	AE	5,708,825	1/1998	Sotomayor			
	AF	5,761,684	6/1998	Gibson			
	AG	5,848,410	12/1998	Walls et al.			
	AH	5,903,902	5/1999	Orr et al.			
	AI	5,973,696	10/1999	Agranat et al.			
	AJ	5,983,324	11/1999	Tietjen et al.			
	AK	5,987,480	11/1999	Donohue et al.			
	AL	6,014,678	1/2000	Inoue et al.			
	AM	6,026,474	2/2000	Carter et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
AN	Locating Information with Zdex, by Tracy Ryan, Product Manager, pgs. 1-6, Sequioa Software Corporation, www.sequoiasoftware.com

OC-76230.1 Unknown	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	